# White-Box Testing of Service Compositions Via Event Interfaces

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# ABSTRACT

Service-oriented applications are usually composed of services from different organizations. To protect the business interests of service providers, the implementation details of services are usually invisible to service consumers. This makes it challenging to white-box test service-oriented applications because of the difficulty to determine accurately the test coverage of a service composition as a whole and the difficulty to design test cases effectively. To address this problem, we propose an approach to white-box test service compositions based on events exposed by services. By deriving event interfaces to explore test coverage information from service implementations, our approach allows service consumers to determine accurately test coverage during testing based on events exposed by services at runtime. We also develop an approach to design test cases effectively based on services' event interfaces. The experimental results show that our approach outperforms existing testing approaches for service compositions with 35% more test coverage rate, 19% more fault-detection rate and 80% fewer test cases needed.

# **Categories and Subject Descriptors**

D.2.5 [Software Engineering]: Testing and Debugging— Testing tools

#### **General Terms**

Measurement, Reliability, Verification

#### Keywords

Web service composition, white-box testing, event interface

# 1. INTRODUCTION

The service-oriented architecture (SOA) paradigm is a widely adopted set of software engineering principles to help manage the complexity of software development for distributed enterprise applications [5, 11]. In this paradigm, service providers develop reusable software components, publish them as Web services, and register them in service registries. By composing selected services from registries, service consumers develop composite SOA applications across distributed, heterogeneous and autonomous organizations [15, 29].

To guarantee the quality of SOA applications, integration testing of service compositions is required before the applications are released. Testing is a challenging task, especially, when an SOA application integrates third-party services from different organizations. On the one hand, whitebox testing of a service composition requires implementation details of every third-party service involved in the composition to be available [5, 17, 20]. However, for business reasons

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or privacy concerns, service implementation details must often remain hidden from service consumers. On the other hand, black-box testing [14, 22] requires no implementation details of services to become visible but suffers from the limitation that service consumers have little confidence on how well a service composition has been covered in testing [31].

To address this dilemma, Bartolini *et al.* proposed an approach which requires a service provider to report coverage information for its services to service consumers for testing purposes, such as the percentage of code paths covered, instead of revealing the services' implementation [1]. Based on the coverage information reported and the given coverage criteria, service consumers can estimate how well the involved services have been tested.

However, we observe that it is still difficult to apply this approach to a service composition involving more than one third-party service. There are two reasons for this (1) the inability to accurately determine test coverage as a whole and (2) the difficulty of effectively designing test cases.

First, although a service consumer can obtain the coverage percentage of every third-party service involved in a service composition under test, the service consumer is still unable to accurately determine how well the composition as a whole has been tested.

For example, as illustrated in Fig. 1, a manufacturer composes two third-party *item supplier* services to a manufacturer service. Each item supplier employs two different ways to produce items. Let us consider the following scenario: Suppose the first two test cases cover the two paths  $b_1$ ,  $b_2$ ,  $b_3$ ,  $c_1$ ,  $c_2$ ,  $c_3$  and  $b_1$ ,  $b_4$ ,  $b_5$ ,  $c_1$ ,  $c_4$ ,  $c_5$ , respectively. According to the solution proposed in [1], the manufacturer then stops the testing and releases the service composition since all the involved services in Fig. 1 report 100% path coverage. However, the composition is not adequately tested because two other scenarios (i.e., paths  $b_1$ ,  $b_2$ ,  $b_3$ ,  $c_1$ ,  $c_4$ ,  $c_5$  and  $b_1$ ,  $b_4$ ,  $b_5$ ,  $c_1$ ,  $c_2$ ,  $c_3$ ) are not covered by this testing. Moreover, even with more test cases, the manufacturer still cannot determine whether all the scenarios are covered because all third-party services report 100% coverage. As a result,



Figure 1: Coverage of a service composition.

faults in untested scenarios are left undetected (e.g., items produced via path  $b_1$ ,  $b_2$ ,  $b_3$  may turn out to be inconsistent with items produced via path  $c_1$ ,  $c_4$ ,  $c_5$ ).

Second, it is difficult for a service consumer to effectively design test cases to cover a service composition because of possible dependencies among services.

For example, suppose Service 2 in Fig. 1 executes the path  $c_1$ ,  $c_4$ ,  $c_5$  if and only if Service 1 executes the path  $b_1$ ,  $b_4$ ,  $b_5$  in the composition. The test cases designed to cover path  $c_1$ ,  $c_4$ ,  $c_5$  in Service 2 will not work if Service 1 executes the path  $b_1$ ,  $b_2$ ,  $b_3$  under these test cases. A dependency relationship such as this cannot be derived from the reported coverage percentages of Service 1 and 2 (as neither service has the required information). As a result, service consumers may need to try a large number of test cases to cover all possible scenarios, resulting in a significantly increased testing effort.

Therefore, reporting only the coverage percentage of each third-party service for testing is not enough. This motivated us to explore what other information services could reveal for testing while keeping their implementation details invisible from service consumers.

To address this concern, in this paper, we explore the potential of allowing services to expose events to support white-box testing of service compositions. In our approach, instead of reporting the coverage percentage, each service provider is required to provide service consumers with an event interface derived from the service implementation at design time. The event interface encapsulates and reveals selected service internal state changes as events at runtime.

For example, Service 1 in Fig. 1 may declare an event  $e_1$  to reveal the status change of task  $b_2$  (i.e., from "noncommitted" to "committed") inside the service. Similarly, another event  $e_2$  can be defined to reveal status changes of  $b_4$ . These events are correlated in the event interface to represent different executions of the service (e.g.,  $e_1$  and  $e_2$ represents two different paths of Service 1). During testing, events exposed by third-party services are propagated to service consumers, who can then make use of them to determine test coverage of a service composition and effectively derive test cases.

There are two main challenges with this approach: (1) how to encapsulate and expose only the necessary events from a large number of events generated by services at runtime to hide the service implementation details; and (2) how to correlate events from different services to reason about the coverage of a service composition as a whole.

These challenges are addressed in this paper with a fourfold contribution: First, we propose a novel approach to white-box test Web service compositions involving more than one third-party service via events exposed from services. Next, we develop a model to derive event interfaces from service implementations. We prove that the test coverage derived based on event interfaces is equivalent to real coverage of service compositions under test. This allows service consumers to determine the test coverage of a service composition without revealing the implementation of each involved third-party service. Third, we propose algorithms to effectively derive test cases based on event interfaces to reduce the number of test cases needed. Finally, we perform a detailed experimental evaluation. The results show that our approach achieves a 35% increase in test coverage and detects 19% more faults than the approach proposed by Bartolini *et al.* [1], and requires 80% fewer test cases on

average than the random testing approach [19].

The rest of this paper is organized as follows: Section 2 reviews related work on service testing. Section 3 introduces our approach and methodology. Section 4 evaluates our approach empirically and Section 5 discusses some limitations.

### 2. RELATED WORK

In this section, we review related work in the areas of service testing and service interfaces.

Service Testing. Service Testing has become an active area of research in the software engineering community and has attracted much attention in recent years [1, 5, 17, 20, 21]. Existing approaches can be classified into two main categories based on the roles involved in testing services: (1) from the perspective of service providers and (2) from the perspective of service consumers.

From the perspective of service providers, services need to be tested to conform to quality standards prior to release. Service providers usually have all the implementation details of their services (or partial details if third-party services are integrated to implement their services). Therefore, service providers can white-box test their services. For example, Li *et al.* [16, 30] proposed a framework to organize unit tests and generate test cases based on a search of BPEL flow graphs and constraint solving techniques. Mei *et al.* [20, 21] proposed a data flow approach to detect faults introduced by XML and XPath based on XPath rewriting. These approaches however are inadequate to white-box test a service composition involving third-party services because the implementations of third-party services are usually hidden.

Service consumers, on the other hand, need to know whether the selected third-party services work correctly when composed together to form new applications, even though each service has been tested individually by each service provider. Black-box testing approaches are often applied due to unavailable implementations of third-party services. Kaschner [14] proposed an automatic approach to design test cases for black-box testing of services based on their business protocols. Bartolini et al. [3] proposed a model-based approach to generate testbeds to replace services for testing from service consumers. Mei et al. [22] proposed an approach to help service consumers prioritize test case selection for regression testing based on the coverage of WSDL tags of the tested service. These approaches can help service consumers to detect faults in a service composition. The limitation is that it is unclear to service consumers how adequately a service composition as a whole has been tested.

To gain confidence about how well a service composition has been tested, service consumers need to whiten SOA testing for service compositions. Li et al. [17] suggested that service providers design test cases based on their BPEL processes and provide the test cases to service consumers. The limitation is that service providers cannot anticipate all possible composition scenarios. Bartolini et al. [1] proposed to instrument each service with an intermediate service which provides coverage feedback for each third-party service to service consumers during testing. However, the coverage percentage provided by such an approach can not be used to derive how adequately a whole service composition has been tested. Moreover, this approach does not address how to design test cases based on the feedback. We view this as a non-trivial step. Our approach addresses these two issues through the novel concept of event exposure from services

that we developed. By observing events and matching them to feasible observations constructed from event interfaces, service consumers can determine the test coverage of a service composition as a whole. Test cases can also be designed effectively based on event interfaces, as we demonstrate.

**Testing Equivalence of Processes**. Much research has also been devoted to conformance testing of service specifications and their implementations. For example, Nicola *et al.* [23] studied the equivalence relationship between processes based on a set of tests. Bentakouk *et al.* [2] proposed to test the conformance between service orchestration specifications and their implementations with symbolic execution techniques. Tretmans [26] defined a test equivalence relationship between asynchronous input/output automata and the underlying synchronous labeled transition systems.

Our work also defines an equivalence relationship between the event interface and the service implementation. The difference is that existing work does conformance testing of service specification and implementation, whereas our approach determines the test coverage for white-box testing. Even though two processes are equivalent in terms of conformance testing, the test cases designed based on one process may not cover the same paths in the other process.

Service Interface. Often, the implementation details of a service are invisible to service consumers except for access to restricted service interfaces. Many researchers have studied how to enrich service interfaces to facilitate a service composition. Beyer et al. [4] proposed to specify constraints in Web service interfaces to define correctness requirements of a service. Alfaro and Henzinger [8] proposed to describe interfaces as automata to capture temporal aspects of constraints. Emmi et al. [9] proposed a modular verification approach based on assume-guarantee rules to check the compatibility of interface automata. Ye et al. [29] proposed an atomicity-equivalent public view to check the atomicity property of a service composition. Some industrial standards like the SCA Event Interface [25] and the WS-Eventing protocol [27] were proposed to expose and propagate events among services. However, all aforementioned approaches do not address how to use service interfaces to white-box test a service composition. Our work contributes a new kind of service interface, namely an event interface, to test services and thus complements existing approaches.

# 3. METHODOLOGY

#### 3.1 Overview

As discussed in Section 1, existing approaches to whitebox testing service compositions suffer from two limitations: inability to accurately determine test coverage as a whole and difficulty to effectively design test cases. In this section, we illustrate our approach to address these issues based on the exposure of events from services.

An event is defined as a state change [6, 18]. A state of a service is defined as a snapshot of its execution at runtime. The execution of a service can be seen as a series of transitions among its states. The transition from one state to another is defined as a *state change*. For example, an online shopping service transitions from the state "the customer has not been verified" to "the customer has been verified". Usually, these states are invisible from outside the service, and thus referred to as internal states. We define an event to reveal a state change from within a service.



Figure 2: Methodology overview

In this paper, we explore the use of event exposure from services to support white-box testing of service compositions. The basic idea is to abstract coverage-related internal state changes as events and expose them to service consumers. For example, as illustrated in Fig. 2, suppose Service 1 transitions from state  $s_1$  to state  $s_2$ , then we define and expose the event  $e_1$  to represent that the path from  $s_1$  to  $s_2$  has been covered. Similarly, another event  $e_2$  is defined to represent the coverage of the path from  $s_1$  to  $s_3$ . By making use of coverage-related events, service consumers can accurately determine the test coverage of a service composition as a whole. The conditions under which these events occur can also be explored to help derive test cases more effectively to cover a service composition under test.

Note that given various coverage criteria, we can define different sets of events to represent the coverage scenario. For example, for a data flow coverage criterion to cover all define-use relations [31], we can define a pair of events  $(e_{def}, e_{use})$  to track every define-use pair in the service. To ease the presentation and without lose of generality, in the rest of this paper, we use path coverage [31] to illustrate our approach. Other coverage criteria can be handled in a similar way.

Fig. 2 summarizes our methodology. Each third-party service provider defines coverage-related events in its service, abstracts them and their relationships into an event interface, and publishes the event interface to the service consumer. By monitoring and correlating the exposed events from third-party services during testing, the service consumer can determine how well the service composition has been tested. Additionally, the service consumer can use event interfaces to derive test cases to cover untested paths.

#### **3.2** Coverage-equivalent Event Interface

To make use of event exposure from services to support white-box testing of service compositions, service providers need to encapsulate events related to test coverage, derive their relationships, and declare them in event interfaces. Before illustrating how to do so, let us first introduce some basic concepts. Similar to many existing work [4, 10, 11, 29], we model a service as a finite state machine in this paper. Each *state* is defined by a set of variables and their values. In Section 5, we discuss how to derive the state machine of a service from its implementation (e.g., BPEL).

**Definition 1 (State):** A state s is defined as a finite set  $\{(x_1, t_1, v_1), \dots, (x_n, t_n, v_n)\}(n > 0)$ , where  $x_i$  is a variable,  $t_i$  and  $v_i$  are its type and value, respectively<sup>1</sup>.

**Definition 2 (Service):** A service P is a 6 tuple  $(S, s_0, G, C \cup I, T, F)$ , where S is a set of states,  $s_0 \in S$  is the initial state,  $F \subseteq S$  is the set of final states, C is the set

<sup>&</sup>lt;sup>1</sup>Note that the value of a variable can be a concrete value or a constraint to define a set of values (e.g.,  $v_i \equiv x_i > 0$ ).

of communicating actions (e.g., sending or receiving a message), I is the set of internal actions invisible to service consumers, G is the set of guarded Boolean expressions, and  $T \equiv S \times G \times (C \cup I) \times S$  represents the set of transitions.

Given a state s in service P, P can transition from s to s', denoted as  $s \xrightarrow{t} s'$ , if and only if  $\exists t \equiv (s, g, a, s') \in T \land s \vdash g$ . An execution of P (also denoted as an *instance* of P) is a sequence of  $s_0 \xrightarrow{t_{i1}} s_{i1} \xrightarrow{t_{i2}} \cdots \xrightarrow{t_{ik}} s_{ik}$ , where  $s_{ik}$  is its current state. The execution of a service is the transitioning of the service from one state to another. Informally speaking, these state changes represent that something happened and are defined as events.

**Definition 3 (Event):** Let  $s \equiv \{(x_1, t_1, v_1), \dots, (x_n, t_n, v_n)\}$  and  $s' \equiv \{(x'_1, t'_1, v'_1), \dots, (x'_n, t'_n, v'_n)\}$  be two states of service *P*. A state change from *s* to *s'* is defined as an event  $e_{s \to s'} \equiv \{(x_{i1}, t_{i1}, v_{i1}), \dots, (x_{ik}, t_{ik}, v_{ik})\} \subseteq s \cup s'.$ 

Note that an event is different from a transition, in the sense that the former defines that something of interest happens (i.e., a state change related to a set of variables  $x_{i1}, \cdots, x_{ik}$  of interest), whereas the latter defines how something happens (i.e., how a state change comes about). Since our purpose is to determine the coverage of a service composition during testing without revealing the implementation details, we only need to know the coverage changes during testing. Therefore, we define two kinds of events in our approach: coverage-related events and auxiliary events. The former are defined and raised to reflect the coverage changes for testing, whereas the latter are defined to correlate events from different services involved in a service composition.

To define coverage-related events, we introduce an extra variable  $x_{coverage}$  into a service to collect the coverage information of a service for testing. For the path coverage criteria [31], this variable is assigned a different value (e.g., a unique branch ID) whenever a service enters a branch. For example, in Fig. 2, if Service 1 transitions from  $s_1$  to  $s_2$  (or  $s_3$ ),  $x_{coverage}$  can be assigned "Branch 1" (or "Branch 2"). Formally, suppose service P transitions from s to s', where  $(s, g_1, a, s') \in T$ , the value of  $x_{coverage}$  changes if and only if  $\exists (s, g_2, b, s'') \in T$ :  $(s, g_2, b, s'') \neq (s, g_1, a, s')$ . Whenever the value of  $x_{coverage}$  changes, we raise an event to represent such a change. For example, in Fig. 2, two events  $e_1$  and  $e_2$  are defined to indicate that Service 1 enters two different branches, respectively.

Besides coverage-related events, we also need to define some auxiliary events to correlate events from different services. An auxiliary event occurs when a service sends or receives a message: that is, if service P transitions from s to s', where  $(s, g, a, s') \in T \land a \in C$ , then an auxiliary event  $e_{s \to s'}$  is defined. If both an auxiliary event and a coverage-related event are defined for a transition, then only the auxiliary event is kept. We also define a start event for each service to indicate that the service has started to execute.

In order to represent the actual coverage of paths inside a service, what is still needed is a way to determine which events are on the same path and which are not. For example, as illustrated in Fig. 3(a), suppose service P transitions from  $s_0$  to  $s_4$  via  $s_2$ , event  $e_0$  will be raised first, followed by events  $e_1$ ,  $e_2$ . Therefore, we can correlate  $e_0$ ,  $e_1$  and  $e_2$ in a sequence  $e_0e_1e_2\cdots$  to represent the path. On the other hand, transitions  $t_1 \equiv (s_1, g_1, a_1, s_2)$  and  $t_8 \equiv (s_0, g_8, a_8, s_7)$ never belong to the same path in any execution of P. Therefore,  $e_2$  and  $e_6$  should not be correlated. The following defi-



Figure 3: (a) Event exposure. (b) Service composition.

nition summarizes the causality relationships among events: Given two events  $e_{s_1 \to s_2}$  and  $e_{s_3 \to s_4}$ ,  $e_{s_1 \to s_2}$  is said to cause  $e_{s_3 \to s_4}$ , denoted as  $C(e_{s_1 \to s_2}, e_{s_3 \to s_4})$ , if and only if  $\exists s_{i1} \xrightarrow{t_1} s_{i2} \xrightarrow{t_2} \cdots \xrightarrow{t_{ik}} s_{ik} \land s_{i1} \equiv s_2 \land s_{ik} \equiv s_3$ . If no event is raised during  $s_{i1} \xrightarrow{t_1} s_{i2} \xrightarrow{t_2} \cdots \xrightarrow{t_{ik}} s_{ik}$ ,  $e_{s_1 \to s_2}$  is called the *direct cause* of  $e_{s_3 \to s_4}$ , denoted as DC ( $e_{s_1 \to s_2}, e_{s_3 \to s_4}$ ). In the above example in Fig. 3(a),  $e_0$ ,  $e_1$  and  $e_2$  cause  $e_4$  in the path from  $s_0$  to  $s_5$  via  $s_1, s_2, s_4$ . Event  $e_2$  is the direct cause of  $e_4$  whereas  $e_0$  and  $e_1$  are not.

Based on the above discussion, we introduce the concept of *event interface* to abstract both the exposed events and their causality relationships inside a service.

**Definition 4 (Event Interface):** An event interface EI is a tuple (E, R), where E is the set of exposed events, and  $R \equiv E \times E$  is the set of causality relationships between events, that is,  $\forall (e_i, e_j) \in R$ :  $DC(e_i, e_j)$ .

Since our purpose is to use event interfaces to determine test coverage inside services, service providers need to offer event interfaces for their services to service consumers before testing. The following algorithm illustrates how to derive an event interface from a service. As proven in Section 3.3, the coverage derived based on event interfaces is equivalent to the actual coverage of services in testing. Therefore, event interfaces are called *coverage-equivalent* event interfaces.

Algorithm 1 has two parts. Part 1 (Lines 2 to 18) traverses the service and generates the two types of events (Lines 6, 11); Part 2 (Lines 19 to 29) traverses the service in the opposite direction to determine the direct causes for each event. For example, as marked in Fig. 3(a), seven events  $\{e_0, e_1, e_2, e_3, e_4, e_5, e_6\}$  are defined and exposed from Service P. For each event, the algorithm traces back from the state the event is raised to determine all potential direct causes. For instance, for event  $e_4$ , the algorithm traces back from state  $s_4$  to  $s_2$  and  $s_3$ , and gets its two possible direct causes  $e_2$  and  $e_3$ . The causality relationship for other events can be calculated in a similar way. Therefore, the event interface for service P is  $EI \equiv (E, R)$ , where  $(e_1, e_3), (e_2, e_4), (e_2, e_5), (e_3, e_4), (e_3, e_5), (e_5, e_1)$ . Suppose a service has k transitions, and exposes m events. Part 1 (Lines 2 to 18) traverses at most k steps; Part 2 (Lines 19) to 29) traverses at most  $m \times k$  steps. Since  $m \leq k$ , the complexity of Algorithm 1 in the worst case is  $O(k^2)$ .

### **3.3** Coverage Reasoning

Based on the event interfaces provided by service providers, service consumers can monitor the exposed events at runtime to determine test coverage. As mentioned in Section 1, the execution of a path in one service may depend on some

Algorithm 1 Derive a coverage-equivalent event interface
Input:
A service $P \equiv (S, s_0, G, C \cup I, T, F);$
Output:
A coverage-equivalent event interface $EI \equiv (E, R);$
1. $a$ $(a) E (a)$ compared $(a) (a)$

1:  $q_{search} \leftarrow \{s_0\}, E \leftarrow e_0, generated(s_0) \leftarrow e_0;$ 2: while  $\exists cs \in q_{search}$  do 3:  $q_{search} \leftarrow q_{search} - \{cs\};$ 4: for  $\forall t \equiv (cs, g, a, s) \in T$  do 5:if  $a \in C$  then define an auxiliary event  $e_a$ ; 6:7:  $E \leftarrow E \cup \{e_a\}, cause(e_a) \leftarrow cs;$ 8:  $generated(s) \leftarrow generated(s) \cup \{e_a\};$ 9: else 10: if  $\exists t' \equiv (cs, g', a', s') \in T : t \neq t'$  then 11:define a coverage-related event  $e_c$ ; 12: $E \leftarrow E \cup \{e_c\}, cause(e_c) \leftarrow cs;$ generated(s)  $\leftarrow$  generated(s)  $\cup$  { $e_c$ }; 13:14: else  $shared(s) \leftarrow shared(s) \cup \{cs\};$ 15:16:if  $visited_{r1}(s) = false$  then 17: $q_{search} \leftarrow q_{search} \cup s;$ 18: $visited_{r1}(s) \leftarrow true;$ 19: for  $\forall e \in E$  do 20: $s \leftarrow cause(e);$ if  $visited_{r2}(s) = false$  then 21: $visited_{r2}(s) \leftarrow true, q_{search} \leftarrow \{s\};$ 22: 23:while  $\exists cs \in q_{search}$  do 24: $q_{search} \leftarrow q_{search} - \{cs\};$ 25: $cause\_set(s) \leftarrow cause\_set(s) \cup generated(s);$ 26:for  $\forall ns \in shared(cs) : visited_{r2}(ns) = false \mathbf{do}$ 27: $q_{search} \leftarrow q_{search} \cup \{ns\};$ for  $\forall e' \in cause\_set(s)$  do 28: $R \leftarrow R \cup \{(e', e)\}$ 29:

particular paths in another service. Suppose n services are involved in a service composition (denoted as P), and each one has  $m_i$  paths, then P may have a total of  $\prod_{i=1}^{n} m_i$  possible combinations of execution paths. To accurately determine test coverage of P as a whole, service consumers need to know which combinations of execution paths are feasible. Definition 5 specifies feasible paths in a service composition.

**Definition 5 (Service Composition):** Given *n* services  $P_i \equiv (S_i, s_{i,0}, G_i, C_i \cup I_i, T_i, F_i) (i = 1..n)$ , their composition is denoted as a service  $P \equiv \oplus(P_1, P_2, \dots, P_n)$ . A state of *P* can be represented as  $((s_{1,j_1}, w_1), \dots, (s_{n,j_n}, w_n))$ , where  $s_{i,j_i} \in S_i$ , and  $w_i$  is a sequence of executed actions in  $C_i \cup I_i$  representing a path. The transition  $((s_{1,j_1}, w_1), \dots, (s_{n,j_n}, w_n)) \rightarrow ((s'_{1,j_1}, w'_1), \dots, (s'_{n,j_n}, w'_n))$  is allowed if and only if any of the following conditions are satisfied:

1.  $\exists t_i \equiv (s_{i,j_i}, g_i, a_i, s'_{i,j_i}) : s_{i,j_i} \xrightarrow{t_i} s'_{i,j_i} \land a_i \in I_i \land w'_i = w_i a_i \land (\forall l \neq i : s'_{l,j_l} = s_{l,j_l} \land w'_l = w_l).$ 

2.  $\exists t_i \equiv (s_{i,j_i}, g_i, a_i, s'_{i,j_i}) : (s_{i,j_i} \xrightarrow{t_i} s'_{i,j_i} \land a_i \in C_i \land w'_i = w_i a_i \land \exists t_k \equiv (s_{k,j_k}, g_k, a_k, s'_{k,j_k}) : (s_{k,j_k} \xrightarrow{t_k} s'_{k,j_k} \land a_k \in C_k \land w'_k = w_k a_k \land (a_i \text{ sending a message and } a_k$ receiving the message)  $\land (\forall l \neq i, k : s'_{l,j_l} = s_{l,j_l} \land w'_l = w_l))$ ).

Intuitively, Definition 5 specifies how a service composition transitions from one state to another. In particular, Condition 1 represents a transition by executing an internal action (that is, from  $I_i$ ) of an involved service  $P_i$ ; Condition 2 represents a transition that two involved services  $P_i$  and  $P_k$  communicate with each other via  $a_i$  and  $a_k^2$ . A state  $((s_{1,j_1}, w_1), \dots, (s_{n,j_n}, w_n))$  is *feasible* if and only if there exists a sequence of transitions  $((s_{1,0}, \{\}), \dots, (s_{n,0}, \{\})) \rightarrow \dots \rightarrow ((s_{1,j_1}, w_1), \dots, (s_{n,j_n}, w_n)).$ 

Let us take the service composition in Fig. 3(b) as an example. Actions in transitions  $t_{13}$  and  $t_{14}$  of service  $P_1$  send two messages to  $P_2$  (represented as the dashed curve). These two messages are received by  $t_{21}$  and  $t_{22}$  of  $P_2$ , respectively. The initial state of the service composition is  $((s_{10}, \{\}), (s_{20}, \{\}))$ . If  $P_1$  transitions to  $s_{14}$ ,  $P_2$  will transition to  $s_{22}$ . Therefore, the state  $((s_{14}, a_{10}a_{11}a_{13}), (s_{22}, a_{20}a_{21}))$  is feasible, where  $a_i$  represents the action in transition  $t_i$ . Similarly,  $((s_{15}, a_{10}a_{12}a_{14}), (s_{23}, a_{20}a_{22}))$  is also feasible whereas  $((s_{14}, a_{10}a_{11}a_{13}), (s_{23}, a_{20}a_{22}))$  is not, because when  $P_1$  transitions to  $s_{14}$ ,  $P_2$  cannot transition to  $s_{23}$ .

A feasible state of a service composition represents a feasible execution path of the service composition. To accurately determine test coverage of a service composition as a whole, service consumers need to correlate events in event interfaces from different services and organize them in a way to enumerate every feasible execution path of the service composition. On the other hand, every feasible execution path of the service composition should have only one such combination of events. Definition 6 specifies the pattern to organize and correlate events to represent a feasible execution path of a service composition. To ease the presentation, we introduce the following notation: Given a sequence of events  $h \equiv e_0 e_1 \cdots e_n$ ,  $tail(h) \equiv e_n$  and  $he \equiv e_0 e_1 \cdots e_n e_i$ ; given an event e, predicates  $au_s(e), au_r(e), cv(e)$  denote that e is an auxiliary event representing the sending of a message, an auxiliary event representing the receiving of a message, and a coverage-related event, respectively;  $com(e_i, e_j) = true$  if and only if  $e_i$  is the event representing that a message is sent by a service and  $e_j$  is the event representing that this message is received by another service.

**Definition 6 (Observation):** Let *P* be a service composition of *n* services  $P_i(i = 1..n)$ , and  $EI_i \equiv (E_i, R_i)$  be their coverage-equivalent event interfaces. An observation of events from this service composition can be represented as  $(h_1, h_2, \dots, h_n)$ , where  $h_i$  is a sequence of events observed from service  $P_i$ . The observation  $(h_1, h_2, \dots, h_n)$  can be followed by  $(h'_1, h'_2, \dots, h'_n)$ , denoted as  $(h_1, h_2, \dots, h_n)$  can be followed by  $(h'_1, h'_2, \dots, h'_n)$ , denoted as  $(h_1, h_2, \dots, h_n)$  $\Rightarrow (h'_1, h'_2, \dots, h'_n)$ , if and only if  $\exists e_j \in E_i : h'_i = h_i e_j \land$  $(tail(h_i), e_j) \in R_i \land (\forall l \neq i : h'_l = h_l) \land (au_s(e_j) \lor cv(e_j)$  $\lor (\exists k \neq i : com(tail(h_k), e_j))$ .

The intuitive meaning of Definition 6 is that a service consumer can observe a new event from a service if and only if its direct cause is observed as the latest event from the service. Moreover, if the event indicates that a message is received, then the event indicating that the same message is sent by a service should be the latest observed event from the service<sup>3</sup>. An observation  $(h_1, h_2, \dots, h_n)$  is *feasible* if and only if there exists a sequence  $(e_{1,0}, e_{2,0}, \dots, e_{n,0}) \Rightarrow$  $\dots \Rightarrow (h_1, h_2, \dots, h_n)$ , where  $e_{i,0}$  is the start event of  $P_i$ . For example, as illustrated in Fig. 3(b),  $(e_{10}e_{11}e_{13}, e_{20}e_{21})$ is a feasible observation whereas  $(e_{10}e_{11}e_{13}, e_{20}e_{22})$  is not. Based on the above concepts, we have the following theorem:

 $<sup>^2\</sup>mathrm{We}$  assume synchronous communication between services. We discuss how to handle asynchronous communication in Section 5.

<sup>&</sup>lt;sup>3</sup>Events may lose order in a distributed setting. Service consumers can solve this issue by caching events into queues before matching them to the observed pattern of Definition 6.

THEOREM 1. Let P be a service composition of n services  $P_i(i = 1..n)$ , and  $EI_i \equiv (E_i, R_i)$  be their coverage-equivalent event interfaces. For every feasible execution  $((s_{1,j_1}, w_1), \cdots, (s_{n,j_n}, w_n))$  of P, there exists a feasible observation  $(h_1, h_2, \cdots, h_n)$  of events from P, and vice versa, where  $h_i$  is the sequence of events generated by  $P_i$  in the execution.

The intuitive meaning of Theorem 1 is that every feasible observation of events corresponds to a feasible execution path of a service composition, and vice versa. The proof of Theorem 1 is to construct a one-to-one mapping between a feasible execution path of a service composition and a feasible observation of events. The proof can be found in the appendix.

With this theorem, service consumers only need to construct all the feasible observations based on the event interfaces from service providers, and determine the test coverage by counting how many of them have been observed during testing. Note that when there are loops in a service composition, the number of feasible execution paths may be infinite. In practice, some constraints are usually added to the path coverage criterion to terminate searching (e.g., the length of each path is less than a given K [31], and this information can be added into events). On the other hand, verifying a path is executable or not is generally undecidable. As a result, white-box testing techniques usually count how many of the potentially executable paths (whose executable conditions may be satisfied) have been covered [31]. Consequently, the following algorithm constructs all the corresponding potentially feasible observations.

Algorithm 2 Construct all feasible observations.
Input:
$n$ event interfaces $EI_i \equiv (E_i, R_i)$ of $n$ services involved
in a service composition;
Output:
A set of potential feasible observations $O \equiv \{(h_{1,1}, h_{2,1}, h_{2,1},$
$\cdots, h_{n,1}, \cdots, (h_{1,m}, h_{2,m}, \cdots, h_{n,m})\};$
1: $q_{search} \leftarrow \{(e_{1,0}, e_{2,0}, \cdots, e_{n,0})\}, O \leftarrow \{\};$
2: while $\exists co \in q_{search} \mathbf{do}$
3: $q_{search} \leftarrow q_{search} - co(\equiv (h_1, h_2, \cdots, h_n));$
4: $visited(co) \leftarrow true;$
5: <b>if</b> satisfied(co) <b>then</b>
6: $O \leftarrow O \cup \{co\};$
7: else
8: for $\forall i(i=1n)$ do
9: <b>for</b> $\forall e \in E_i : tail(h_i, e) \in R_i$ <b>do</b>
10: <b>if</b> $cv(e) \lor au_s(e) \lor (\exists j : com(tail(h_j), e))$ <b>then</b>
11: $co' = (h_1, \cdots, h_i e, \cdots, h_n);$
12: <b>if</b> $visited(co') = false$ <b>then</b>
13: $q_{search} \leftarrow q_{search} \cup \{co'\};$

Algorithm 2 constructs all potentially feasible observations from scratch. In the beginning, only the start events of each involved service are put into an initial observation. Then, the algorithm constructs all the potentially feasible observations following the initial observation by adding an event to the observation that may satisfy the condition in Definition 6. If a new potentially feasible follow-up observation satisfies the requirement (Line 5, e.g., the length of the path is larger than a given K), the observation is put into the output set O. This procedure is executed until no more potentially feasible observations are found (Line 2). Suppose a service composition has m feasible execution paths, Algorithm 2 executes at most  $m \times K$  steps. Therefore, the complexity of this algorithm is  $O(m \times K)$ .

# 3.4 Test Case Generation

As mentioned in Section 3.3, service consumers can construct all the potentially feasible observations based on the coverage-equivalent event interfaces from service providers. During testing, service consumers generate test cases to test service compositions and subscribe to the exposed events from involved services. By counting the number of potentially feasible observations that have been matched from exposed events, a service consumer can determine how well a service composition has been tested.

One important issue remained is how to design test cases to cover the potentially feasible observations. Service consumers can apply existing approaches (e.g., random testing [19]) to generate test cases. However, as mentioned in Section 1, the execution of a path in one service may depend on the execution state of some particular paths in another service. A dependency such as this increases the difficulty to generate test cases effectively to cover a service composition adequately. In this section, we illustrate that the dependency information can be explored and attached to event interfaces. The purpose is to allow service consumers to use the additionally exposed information to generate test cases effectively to cover potentially feasible observations. Note that the test oracle issue is out of the scope of this paper. The approach introduced in this section can be seen as a complementary approach to existing test case generation approaches for service testing.

One natural solution to the aforementioned issue is to add more information related to exposed events to provide more insights about the internal execution of a service. In particular, we can analyze the service to determine the conditions under which an event can be raised in a given potentially feasible observation. For example, as illustrated in Fig. 4, event  $e_{11}$  is raised if and only if service  $P_1$  transitions from  $s_{10}$  to  $s_{12}$ , that is,  $s_{10} \xrightarrow{t_{10}} s_{11} \xrightarrow{t_{11}} s_{12}$ . Since the guarded condition of  $t_{10}$  is always *true*,  $P_1$  can transition from  $s_{10}$ to  $s_{11}$ . According to the action in  $t_{10}$ , state  $s_{11}$  should satisfy the following condition: y = x + 10. If  $s_{11} \xrightarrow{t_{11}} s_{12}$ , then  $s_{11} \vdash g_{11}$  should be satisfied, that is, the condition  $(y = x + 10) \land (y < 20)$  should be satisfied at state  $s_{11}$ . Therefore, under this condition,  $e_{11}$  is raised to follow after  $e_{10}$ . To ease the presentation, we call this condition the causality condition between events  $e_{10}$  and  $e_{11}$ , denoted as  $CC(e_{10}, e_{11}).$ 

Note that the causality conditions between events can be derived during the construction of event interfaces in Algorithm 1. Formally, suppose  $s \xrightarrow{t} s'$  and SC(s) represent the constraints s satisfies, then  $SC(s') \equiv SC(s) \land g \land a$ , where g and a are the guarded condition and action in t, respectively<sup>4</sup>. We can iteratively apply this rule during the traversal of a service in Algorithm 1 to calculate the causality conditions between every exposed event and its direct cause. Suppose event  $e_{s_1 \rightarrow s_2}$  is the direct cause of  $e_{s_k \rightarrow s_{k+1}}$ , that is,  $\exists s_1 \xrightarrow{t_1} s_2 \xrightarrow{t_2} \cdots \xrightarrow{t_{k-1}} s_{k+1}$  and no event is raised during  $s_2 \xrightarrow{t_2} s_3 \xrightarrow{t_3} \cdots \xrightarrow{t_{k-1}} s_k$ .  $CC(e_{s_1 \rightarrow s_2}, e_{s_k \rightarrow s_{k+1}})$  is equiv-

<sup>&</sup>lt;sup>4</sup>We can always rename the variables to make sure each variable is assigned the value only once. Therefore, we can calculate the constraint of SC(s') in this way.



Figure 4: Causality conditions for events.

alent to  $SC(s_1) \wedge (\bigwedge_{j=1}^k (g_j \wedge a_j))$  where  $g_j$  and  $a_j$  are the guarded condition and action in transition  $t_j$ , respectively.

Let  $EI \equiv (E, R)$  be an event interface for service P, EI can be extended to include the local causality conditions between events, that is,  $EI' \equiv (E, R')$ , where  $\forall (e_1, e_2) \in R :$  $(e_1, e_2, CC(e_1, e_2)) \in R'$ , and vice versa. By attaching the local causality conditions into the event interfaces as well, service consumers can integrate them into global constraints for the service composition and apply constraint solving techniques to generate the test cases for each given feasible observation. An alternative approach is that each involved service keeps its own local causality conditions invisible to service consumers and collaborates with its partner services to generate the test cases based on their own causality conditions. In this paper, we illustrate the former and leave the latter as future work.

Similar to the calculation of causality conditions between events, the global constraints for a potentially feasible observation can be calculated iteratively. Let  $EI'_i (i = 1..n)$  be the extended event interface of service  $P_i$ , and  $o \equiv (h_1, \cdots, h_n)$ be a potentially feasible observation, the global constraint that must be satisfied for o is denoted as GC(o). Suppose  $o \Rightarrow o'$ , where  $o' \equiv (h_1, \dots, h_i e, \dots, h_n)$ , then GC(o') $\equiv GC(o) \wedge CC(tail(h_i), e)$ . For example, given a potentially feasible observation  $o_1 \equiv (e_{10}e_{11}e_{13}e_{15}, e_{20}e_{21}e_{23}e_{25})$ in Fig. 4 and the causality conditions among the events in  $o_1$ ,  $GC(o_1) \equiv (y = x + 10) \land (y < 20) \land (z_1 = 2y) \land (z_1 < 30) \land$  $(u_1 = z_1 - 6) \land (w = u_1 + 2)$ . When  $GC(o_1)$  is satisfied,  $o_1$ can be observed by matching the exposed events from the service composition. By applying constraint solving techniques to  $GC(o_1)$ , service consumers can obtain a solution  $\{x = 2, y = 12, z_1 = 24, u_1 = 18, w = 20\}$ . This solution indicates a test case (that is,  $\{x = 2\}$ ) to cover the feasible observation  $o_1$ .

In practice, a test case may involve many interactions between the service consumer and the service composition being tested. For example, a customer needs to input the query condition, receive the query result, input the confirmation etc. We can model the test case as a service involved in the service composition as well. The generation of a test case is the solution to the local variables inside the test case service satisfying the global constraints.

# 4. EVALUATION

As illustrated in Section 3, our approach makes use of events exposed by services and event interfaces to determine test coverage of a service composition as a whole and to derive test cases for the composition. This section evaluates the approach quantitatively by comparing it to existing work in terms of coverage rate, effectiveness in fault-detection and test case generation. We also evaluate the running time complexity of our algorithms and overhead for event exposure.

## 4.1 Experimental Setup

We use three open-source service compositions to evaluate our work: A supply-chain application [28] (denoted as SC), a loan approval application [13] (denoted as LA) and a book ordering application [24] (denoted as BO). Each application is characterized in Table 7 by listing the number of services, states, transitions, and events exposed for our approach. These applications are also used for service testing by others [2, 14, 20, 30].

	TT TO TAKE TO TAKE T						
Services		#States	#Trans.	#Paths	#Events		
	$s_1$	15	19	6	12		
SC	$s_2$	12	15	5	7		
	Comp.	25	30	18	19		
	$s_1$	5	7	3	8		
	$s_2$	8	9	3	8		
	$s_3$	6	8	4	10		
	Comp.	24	29	10	26		
	$s_1$	14	17	5	11		
BO	$s_2$	10	13	5	9		
	Comp.	24	29	15	20		

Table 1: Applications and descriptive statistics

In the first experiment, we evaluate the coverage percentage in testing and the effectiveness in fault detection of our approach. We use the approach proposed by Bartolini *et al.* as a baseline [1]. In the baseline approach, we leverage the testing based on the coverage percentage of each involved third-party service. We compare the coverage percentage of a service composition as a whole in testing using our approach (denoted as OA) and the baseline approach (denoted as EA). To evaluate the effectiveness in fault detection, we measure and compare the fault-detection rate [12] of both approaches.

To evaluate the fault-detection rate, faulty versions of service compositions are needed. However, to the best of our knowledge, few faulty versions are reported by developers. Therefore, we generate different faulty versions of service compositions by seeding one fault into the three original service compositions following the guidelines in [12]. To be fair, we seed two types of faults: Faults of Type 1 are internal to a service (e.g., missing functionality), and usually can be detected by unit testing of the service; faults of Type 2 represent integration faults that are caused by inconsistency among services (e.g., inconsistent items produced by Services 1 and 2 in Fig. 1). Faults of Type 2 are usually specific to some particular paths across different services in a service composition. In total, we create 30 faulty versions (Type 1: SC(6), LA(4), BO(5) and Type 2: SC(6), LA(4), BO(5)). Detailed description of services and seeded faults can be found in the appendix.

We then generate test suites for our approach and the baseline approach. We randomly select a test case from a test pool and execute a target version of a service composition over the test case. If the test case improves the coverage percentage reported by OA or  $EA^5$ , then it is added to the

<sup>&</sup>lt;sup>5</sup>Note that the coverage percentage reported by our approach is equivalent to the ratio of the number of feasible observations observed against the total number of potentially feasible observations; whereas the value reported by

test suite for the corresponding approach. The test case selection procedure terminates if 100% coverage is achieved with the maximum length of a path set to 100, or if after a maximum number (500) of trials, the coverage is not improved. This procedure is repeated 2,000 times for each version. The fault-detection rate is calculated as the ratio of the number of test suites that can detect the fault in the version against the total number of test suites selected. The real coverage percentage calculated in testing is equivalent to the ratio of the number of executed paths against the total number of potential paths in the service composition.

In the second experiment, we evaluate the effectiveness of test case generation for service compositions. We use the random testing approach [19] as a baseline, that is, test cases are randomly generated to test a service composition. To evaluate the effectiveness of test case generation, we measure the number of test cases needed to cover each service composition with differently given coverage percentages (cover the paths of the service composition as a whole). The test case generation procedure terminates if 100% coverage is achieved, or if after a maximum number (200) of trials the coverage is not improved. Whenever the coverage percentage is updated, the total number of test cases needed to reach the coverage percentage is recorded. The test case generation procedure is repeated 100 times for each service composition. The number of test cases needed in both approaches is compared.

Finally, we evaluate the complexity of our algorithms. We randomly generate a set of services with the number of states varying from 1,000 to 10,000, and apply our algorithms to derive event interfaces. The overhead for exposing events at runtime for each service is also recorded. We also construct potentially feasible observations for randomly generated service compositions with the number of states varying from 1,000 to 10,000. The experiment is repeated 1,000 times and the average time needed for both algorithms and runtime overhead for event exposure is recorded.

#### 4.2 Experiment Data Analysis

In this section, we analyze and report the experimental results. In the first experiment, the minimum, mean, and maximum coverage percentage during the testing of both approaches are shown in Fig. 5(a). In each case, our approach has better coverage percentage than the existing approach. In particular, our approach has 15%, 40%, and 40% higher coverage percentage than the existing approach for the application LA, 14%, 35%, and 40% for SC, and 15%, 17%, 23% for BO, respectively.

The fault-detection rates for each category of faults and the aggregated results are shown in Fig. 5(b). The results show that our approach has a much higher fault-detection rate than the existing approach, especially for faults of Type 2. In particular, with respect to fault-detection rate for faults of Type 1, Type 2 and overall, our approach achieves 0.16, 0.25, and 0.20 more than the existing approach for the application LA, and 0.05, 0.41, and 0.23 more for SC, and 0.09, 0.17, and 0.13 more for BO, respectively.

Since the drop in coverage percentage and effectiveness of EA may be due to fewer test cases in its test suites, we randomly added some extra test cases to the test suites in EA to make sure that the number of test cases is equivalent to that in OA. We repeated the experiment 2,000 times, and the results are shown in Fig. 5(c) and Fig. 5(d). Now, the average coverage percentage of the existing approach is improved, but our approach still achieves at lease 10%, 16%, and 20% more coverage percentage than the existing approach for the minimum, mean, and maximum cases, respectively.

For the overall fault-detection rate, our approach still achieves 0.02, 0.13, and 0.12 higher as compared to the existing approach for the LA, SC, and BO application scenarios, respectively. However, the fault-detection rate for faults of Type 1 achieved by the existing approach is a little higher (0.02 and 0.05) than that of our approach for LA and SC, although the coverage percentage of the existing approach is lower than that of ours. This may be because faults of Type 1 are local to certain individual services in a service composition. By randomly adding extra test cases to the existing approach, all paths of a service can be covered with similar probability. However, in our approach, the possibility of covering a path in a service depends on other services in a service composition. As a result, certain paths in a service may be covered with more probability whereas the others are covered with less probability. Therefore, faults of Type 1 are more likely to be discovered by a test suite that covers all the paths of a service evenly in the existing approach. The fault-detection rate for faults of Type 2 in our approach is still higher than the existing approach (that is, 0.06, 0.29, and 0.16 for the LA, SC and BO applications, respectively). This is because faults of Type 2 are across different services, and our approach achieves a higher coverage percentage than the existing approach. As a result, faults of Type 2 are more likely to be discovered using our approach. This result implies that our approach is more useful to detect integration faults in a service composition.

For the second experiment, Fig. 5(e) illustrates the number of test cases needed using both our approach (denoted as OA) and the random generation approach (denoted as EA-RD) to achieve the given coverage percentage in all the three applications. The figure shows that the number of test cases needed using the random testing approach increases dramatically when the coverage percentage increases, whereas the number of test cases needed in our approach is much smaller (average 80% less) than that of the existing approach and nearly linear to the coverage percentage.

The running time of our algorithms and overhead for event exposure (denoted as EP-OH) are shown in Fig. 5(f). The results show that it takes less than 1 second to derive an event interface using Algorithm 1 and less than 0.1 second to expose all the declared events at runtime for a service with 10,000 states. For a service composition with 10,000 states, Algorithm 2 uses less than 800 seconds to construct all the potentially feasible observations. Therefore, the overhead for both algorithms and event exposure are small.

### 4.3 Threats to Validity

The validity of the experimental results may be threatened in the following ways:

**Construct validity**. The experimental results may be invalid if concepts were mismeasured using wrong variables. One purpose of our experiments is to evaluate the benefits of our approach, which include accurate coverage reasoning, more adequate testing of a service composition, and effectiveness of test case generation. Therefore, we measured the quantitative benefits of our approach in terms of coverage

the existing work is equivalent to the average percentage of the path coverage rate reported by all the involved services.



Figure 5: Coverage percentage, fault-detection rate, number of test cases, and time complexity.

rate, fault-detection rate and number of test cases needed.

Internal validity. Confounding factors like the types of seeded faults and test case selections may affect the causeeffect relationships in the experiments if the seeded faults are sensitive to only particular paths across the service composition or the test cases are selected to cover each service well but only cover a few paths in the service composition as a whole. We alleviate the impacts of these factors by seeding different types of faults evenly across a service composition following the guidelines from [12] and randomly selecting test cases from a large pool of test cases.

**External validity**. To make sure that the experiments can be generalized, we use three representative applications in the experiments because few real-life service composition applications are publicly available. Such applications are also used in existing service testing work [2, 14, 20, 30].

**Theoretical reliability**. Finally, we repeated the experiments many times to remove accidental errors.

# 5. DISCUSSION

**Deriving the formal model**. In this paper, we model a service as a finite state machine. In practice, services may be implemented in BPEL and other languages. We can apply many existing work to transform BPEL services into formal models, such as finite state machines [11] and process algebras [10] to just name a few. On the other hand, to derive the causality conditions among events, the semantics of actions are needed. Some existing Web service standards (like OWL-S [27]) provide such semantics for services (e.g., the pre/post conditions). In addition, the formal models of services with the semantics of actions can be derived using symbolic execution techniques [7]. Our work can be applied to the model based on the existing work.

Event generation and propagation. In our work, ser-

vices need to generate and propagate events to service consumers during testing. We make no assumptions on how services do that. In practice, aspect-oriented programming techniques can be used to generate events in a way transparent to the service implementations. Events can be propagated to service consumers using a pub/sub middleware [15], or using existing standards like WS-Eventing [27].

Asynchronous communication. To ease the presentation and illustration of our approach, we assume services communicate with each other using synchronous communication. Our approach is also applicable to asynchronous communication. To support asynchronous communication, queues can be introduced in Definitions 5 and 6 to buffer the asynchronous messages from partners. A feasible execution and a feasible observation can be derived in the same way.

Privacy concern. In our work, only necessary events are exposed to abstract and reveal coverage-related internal state changes inside a service. All other state changes inside a service and how states are changed (i.e., by what tasks in the business logic) remain invisible to service consumers. In this way, the privacy concern of service providers is respected to a large extent. On the other hand, sometimes the causality conditions for events may be related to business interests (e.g., the decision making strategies to choose different execution paths inside a service etc) so that service providers may not be willing to expose them. An alternative solution is that each service provider can derive the final conditions that must be satisfied for its own service along each given feasible observation, and provide it to service consumers to avoid revealing individual decision making strategies. This collaborative solution can be applied when the causality conditions are unavailable from the service formal model. We will explore in this direction in our future work.

Constraint solving. Our approach applies constraint

solving techniques to derive test cases for given feasible observations. Constraints may not always be solvable. In this case, our approach can still be applied to determine test coverage using the test cases generated by existing work (e.g., random testing [19]). An alternative approach is to apply the aforementioned collaborative approach to generate test cases for service compositions.

Parallel events. In practice, a BPEL process may involve concurrent executions (e.g., flows), which may generate events to interleave with each other in many ways. The finite state machine model can describe all the possible interleavings as different paths. Service providers can also choose to keep some combinations and remove the others in the event interface to reduce the number of paths (since these different combinations corresponding to the same concurrent execution paths) based on the testing requirements (e.g., examining every possible interleaving is needed in some critical requirements).

Composite Web services. In a service composition, the involved services may be composed of other services. The coverage-equivalent event interface of a composite service should be derived based on the event interfaces of its composed services. This requires to aggregate the events from the involved services into high-level events and construct their causality relationships and conditions. We will explore this in our future work.

#### CONCLUSIONS 6.

White-box testing of service compositions is difficult because service providers usually hide the service implementation details due to business interests or privacy concerns. This paper presents a novel approach to white-box test service compositions based on event exposure from Web services. By deriving coverage-equivalent event interfaces from service implementations, events are defined and exposed from services to accurately determine the test coverage of a service composition at runtime. In this way, service consumers can gain confidence on how adequately a service composition has been tested. An approach to effectively design test cases based on event interfaces is also proposed and the correctness of the approach is proven. Algorithms are developed to derive coverage-equivalent event interfaces and construct feasible observations. The experimental results show that our approach outperforms existing approaches in terms of coverage rate, fault-detection rate and effectiveness of test case generation.

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# **APPENDIX**

# A. PROOF OF THEOREM 1

**Part 1**: Given a feasible execution  $((s_{1,j_1}, w_1), \cdots, (s_{n,j_n}, w_n))$  of P, according to its Definition, there exists a sequence of  $s_0 \to s_{i1} \to \cdots \to s_{ik}$ , where  $s_{ik} \equiv ((s_{1,j_1}, w_1), \cdots, (s_{n,j_n}, w_n))$ . We can construct a feasible observation  $(h_1, h_2, \cdots, h_n)$  $h_n$ ) for this feasible execution in the following way: In the beginning, that is, in state  $s_0, h_i \equiv \{e_{i,0}\}$ , where  $e_{i,0}$  is the start event of  $P_i$ . Suppose an event  $e_j \in E_i$  is raised during  $s_{il} \to s_{i(l+1)}$ , according to the causality definition,  $(tail(h_i), e_j) \in R_i$ . Therefore, based on Definition 6,  $(h_1, h_2, \dots, h_n) \Rightarrow (h_1, h_2, \dots, h_i e_j, \dots, h_n)$ . Hence, during each step of  $s_0 \rightarrow s_{i1} \cdots \rightarrow s_{ik}$ , if an event defined in an event interface is exposed, we can construct a feasible observation from current observation with this new generated event.

**Part2**: Given a feasible observation  $(h_1, h_2, \dots, h_n)$ , according to its Definition, there exists a sequence  $(e_{1,0}, e_{2,0}, \dots, h_n)$  $e_{n,0}$   $\Rightarrow \cdots \Rightarrow (h_1, h_2, \cdots, h_n)$ , where  $e_{i,0}$  is the start event of  $P_i$ . We can construct a feasible execution of P for this feasible observation in the following way: In the beginning, that is, the observation is  $(e_{1,0}, e_{2,0}, \cdots, e_{n,0})$ , and the service composition is in the initial state  $s_0$ . Suppose  $(e_{1,0}, e_{2,0}, \cdots, e_{n,0}) \Rightarrow (e_{1,0}, e_{2,0}, \cdots, e_{i,0}e_{i,1}, \cdots, e_{n,0})$ , then  $(e_{i,0}, e_{i,1}) \in R_i$ . According to the Definition of causality, there exists an execution of service  $P_i$ , that is,  $s_{i,0} \xrightarrow{t_{i,1}} \cdots s_{i,j-1} \xrightarrow{t_{i,j}} s_{i,j}$ , and  $e_{i,1} \equiv e_{s_{i,j-1} \to s_{i,j}}$ .

For each step  $s_{i,l-1} \xrightarrow{t_{i,l}} s_{i,l}$ , according to Definition 5, we can construct a corresponding feasible execution  $s_{l-1} \rightarrow s_l$  for this service composition. Therefore, given a feasible observation  $(e_{1,0}, e_{2,0}, \cdots, e_{n,0}) \Rightarrow \cdots \Rightarrow (h_1, h_2, \cdots, h_n)$ , there exists a corresponding feasible execution  $((s_{1,0}, \{\}), \cdots, (s_{n,0}, \{\})) \rightarrow ((s_{1,j_1}, w_1), \cdots, (s_{n,j_n}, w_n)).$ 

Based on the Part1 and Part2, the conclusion follows.

#### **B**. APPLICATIONS AND SEEDED FAULTS

#### Table 2: Description of Approval Service

$t_1$	receive approval request	$t_2$	make decision
$t_3$	reject approval	$t_4$	send result
$t_5$	calculate approval	$t_6$	reject approval
$g_1$	amount < 9	$g_2$	$amount \ge 9$
$g_3$	reject = true	$g_4$	reject = false

#### Table 3: Description of Risk Assessment Service

$t_1$	receive assessment request	$t_2$	check record
$t_3$	check deposit	$t_4$	assign low-risk level
$t_5$	send result	$t_6$	assign high-risk level
$t_7$	assign high-risk level	$t_8$	assign high-risk level
$g_1$	amount < 3	$g_2$	$amount \geq 3$
$g_3$	has record = false	$g_4$	has record = true
$g_5$	has deposit = true	$g_6$	has deposit = false

#### Table 4: Description of Loan Service

$t_1$	receive loan request	$t_2$	send riskassess request
$t_3$	receive riskassess result	$t_4$	approve loan
$t_5$	notification	$t_6$	loan approval request
$t_7$	loan approval result	$t_8$	assign approval
$t_9$	loan approval request		
$g_1$	amount < 5	$g_2$	$amount \geq 5$
$g_3$	risklevel = low	$g_4$	risklevel = high



Figure 6: Approval Service

Table 5:	Description	of Seeding	Faults for	Loan Approval	Application
Tuble 0	Description	or became	Lauros 101	Louintpprovu	rependation

Faulty Name	Fault Type	Fault Description
$f_1$	Type 1	Approval: the threshold of $g_1$ and $g_2$ are changed to affect the service locally
$f_2$	Type 2	Approval: $g_3$ and $g_4$ are changed to generate inconsistent results
$f_3$	Type 2	Loan: the threshold of $g_1$ and $g_2$ is chanted to generate inconsistent results
$f_4$	Type 1	Loan: $g_1$ and $g_2$ are changed locally
$f_5$	Type 1	Loan: $g_3$ and $g_4$ with additional constraints
$f_6$	Type 1	Loan: $t_8$ failure with wrong variable
$f_7$	Type 2	RiskAssessment: $g_3$ and $g_4$ are exchanged to generate inconsistent results
$f_8$	Type 2	RiskAssessment: $g_1$ and $g_2$ have wrong threshold value to generate inconsistent results



Figure 7: Risk Assessment Service



Figure 8: Loan Service





Table 6: 1	Description	of Book	Ordering	Service
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	<u>^</u>		
$t_1$	receive customer request	$t_2$	get customer info
$t_3$	query customer credit	$t_4$	check customer credit
$t_5$	prepare delivery	$t_6$	deliver result
$t_7$	payment request	$t_8$	query credit level
$t_9$	notify no enough credit	$t_{10}$	customer confirm
$t_{11}$	payment preparation	$t_{12}$	payment transaction
$t_{13}$	receive approval	$t_{14}$	prepare delivery
$t_{15}$	receive new amount	$t_{16}$	prepare new payment
$t_{17}$	prepare delivery		
$g_1$	$acredit\_request \le 0$	$g_2$	$credit\_request > 0$
$g_3$	$credit\_level \leq 0$	$g_4$	$credit\_level \ge credit\_check\_request$
$g_5$	$credit\_level < credit\_check\_request$	$g_6$	$new\_amount \leq credit\_level + account\_credit \&$
			$new\_amount > account\_credit$
$g_7$	$new\_amount > credit\_level + account\_credit$		
	$new\_amount \leq account\_credit$		



Figure 10: Credit Card Service

$t_1$	receive payment request	$t_2$	check customer credit	
$t_3$	calculate credit promotion	$t_4$	check interests	
$t_5$	calculate credit	$t_6$	send credit level	
$t_7$	receive payment	$t_8$	approve result	
$t_9$	send payment result	$t_{10}$	calculate credit	
$t_{11}$	calculate credit	$t_{12}$	calculate credit	
$t_{13}$	calculate credit			
$g_1$	customerID < 6	$g_2$	$customerID \ge 6$	
$g_3$	$creditcard\_credit \ge credit\_check\_request \&$	$g_4$	$creditcard\_credit \ge credit\_check\_request \&$	
	hasOneYearContractPlan == false		hasOneYearContractPlan == false	
$g_5$	$creditcard\_credit$ < $credit\_check\_request$ *	$g_6$	$creditcard\_credit \ge credit\_check\_request *$	
	2&hasInterests == true		2&hasInterests == true	

 Table 7: Description of Credit Card Service

 Table 8: Description of Seeding Faults for BookOrdering Application

Faulty Name	Fault Type	Fault Description
$f_1$	Type 1	Credit Card: $t_3$ generates wrong result
$f_2$	Type 2	Credit Card: $t_{10}$ generates inconsistent result
$f_3$	Type 2	Credit Card: $t_{12}$ generates inconsistent result
$f_4$	Type 1	Credit Card: $g_5$ and $g_6$ with additional constraints
$f_5$	Type 2	Credit Card: $t_5$ generates inconsistent result
$f_6$	Type 2	Book Ordering: $g_1$ and $g_2$ with additional constraints to generate inconsistent decisions
$f_7$	Type 1	Book Ordering: $g_3$ with additional constraints
$f_8$	Type 2	Book Ordering: $g_4$ and $g_5$ with wrong constraints to generate inconsistent results
$f_9$	Type 1	Book Ordering: $t_{11}$ generates failure for particular customers
$f_{10}$	Type 1	Book Ordering: $t_{16}$ generate side effects for particular customers

 Table 9: Description of Manufacturer Service

$t_1$	receive manufacturing request	$t_2$	query stock		
$t_3$	complementation	$t_4$	sending result		
$t_5$	query factoryA	$t_6$	factoryA Produce request		
$t_7$	query factoryB	$t_8$	factoryB Produce		
$t_9$	query factoryC	$t_{10}$	factoryC Produce		
$t_{11}$	complete assign				
$g_1$	$manufacturer\_stock \ge requested$	$g_2$	$requested > manufacturer\_stock$		
$g_3$	$requested \leq manufacturer\_stock +$	$g_4$	$requested > manufacturer\_stock +$		
	$factoryA\_can\_produce$		$factoryA\_can\_produce$		
$g_5$	$requested \leq manufacturer\_stock +$	$g_6$	$requested > manufacturer\_stock +$		
	$factoryA\_can\_produce + factoryB\_can\_produce$		$factory A\_can\_produce + factory B\_can\_produce$		
$g_7$	$requested \leq manufacturer\_stock +$	$g_8$	$requested > manufacturer\_stock +$		
	$factoryA\_can\_produce + factoryB\_can\_produce +$		$factoryA\_can\_produce + factoryB\_can\_produce +$		
	$factoryC\_can\_produce$		$factoryC\_can\_produce$		

 Table 10: Description of Retailer Service

	1		
$t_1$	receive customer request	$t_2$	query warehouseA
$t_3$	transaction for A	$t_4$	deliver product
$t_5$	prepare_complement_warehouseA	$t_6$	Complement warehouse
$t_7$	wait for manufacturer	$t_8$	assign complemented
$t_9$	query warehouseB	$t_{10}$	transaction for B
$t_{11}$	prepare_complement_warehouseB	$t_{12}$	query warehouseC
$t_{13}$	transaction for C	$t_{14}$	prepare_complement_warehouseC
$g_1$	$product\_type == 0$	$g_2$	$product\_type == 1$
$g_3$	$product\_type == 2$	$g_4$	$stockA \ge amount$
$g_5$	stockA < amount	$g_6$	$stockB \ge amount$
$g_7$	rstockB < amount	$g_8$	$stockC \ge amount$
$g_9$	stockC < amount		



Figure 11: Manufacturer Service



Figure 12: Retailer Service

Table 11: Description of Seeding Faults for SupplyChain Application

Faulty Name	Fault Type	Fault Description
$f_1$	Type 1	Manufacturer: $g_1$ and $g_2$ are exchanged
$f_2$	Type 1	Manufacturer: $g_3$ and $g_4$ are exchanged
$f_3$	Type 1	Manufacturer: $g_7$ and $g_8$ are exchanged
$f_4$	Type 2	Manufacturer: $t_3$ generates inconsistent result
$f_5$	Type 2	Manufacturer: $t_6$ generates inconsistent result
$f_6$	Type 1	Manufacturer: $t_8$ generates wrong result
$f_7$	Type 1	Retailer: the threshold of $g_1$ is changed
$f_8$	Type 1	Retailer: $g_8$ and $g_9$ have additional constraints
$f_9$	Type 2	Retailer: $g_6$ and $g_7$ with wrong variable to generate inconsistent decisions
$f_{10}$	Type 2	Retailer: $t_5$ calculates wrongly for some range of input
$f_{11}$	Type 2	Retailer: $t_{11}$ calculates wrongly for some range of input
$f_{12}$	Type 2	Retailer: $t_{14}$ calculates wrongly for some range of input